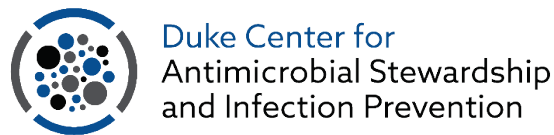


Use of Statistical Process Control Charts for Early Detection of Healthcare Facility-Associated Nontuberculous Mycobacterial Outbreaks



Arthur W. Baker^{1,2}, Ahmed Maged³, Salah Haridy⁴, Jason E. Stout¹, Jessica L. Seidelman^{1,2}, Sarah S. Lewis^{1,2}, Deverick J. Anderson^{1,2}
 1–Division Of Infectious Diseases, Duke University School of Medicine; Durham, NC; 2–Duke Center for Antimicrobial Stewardship and Infection Prevention; Durham, NC
 3–City University of Hong Kong; Kowloon, Hong Kong; 4–University of Sharjah; Sharjah, United Arab Emirates



Background

- Nontuberculous mycobacteria (NTM) are increasingly implicated in healthcare facility-associated (HCFA) infections and outbreaks.
- HCFA NTM infections cause substantial morbidity and mortality.
- Systematic methods for HCFA NTM cluster and outbreak detection do not exist, which leads to delayed outbreak recognition and mitigation.
- OBJECTIVE:** Examine how statistical process control (SPC) methods perform in NTM outbreak detection.

Methods

- Academic transplant center
- SPC methods were applied to 3 prior hospital-associated NTM outbreaks:
 - Pulmonary *M. abscessus* complex (MABC) acquisition
 - Invasive MABC infection after cardiac surgery
 - Pseudo-outbreak of *M. avium* complex (MAC) at bronchoscopy suite
- SPC chart characteristics:
 - Chart type = Standardized moving average
 - Moving average span = 3 months
 - Baseline = 6-month window, 6-month lag
 - SPC detection = First data point above upper control limit
 - Control limits = 3 standard deviations from mean

Results

- SPC outbreak detection occurred 5, 4, and 9 months prior to traditional surveillance detection (**Figure**).
- No false positive signals occurred during 95 cumulative months of post-outbreak surveillance, suggesting potential for low investigative burden.
- Prospective SPC surveillance using this chart type would have prevented an estimated 19 cases of pulmonary MABC, 9 cases of extrapulmonary MABC, and 80 cases of bronchoscopy suite MAC isolation (**Table**).

Figure. SPC detection of two hospital-associated outbreaks of *M. abscessus* complex (MABC) (Panel A) and a bronchoscopy suite pseudo-outbreak of *M. avium* complex (MAC) (Panel B).

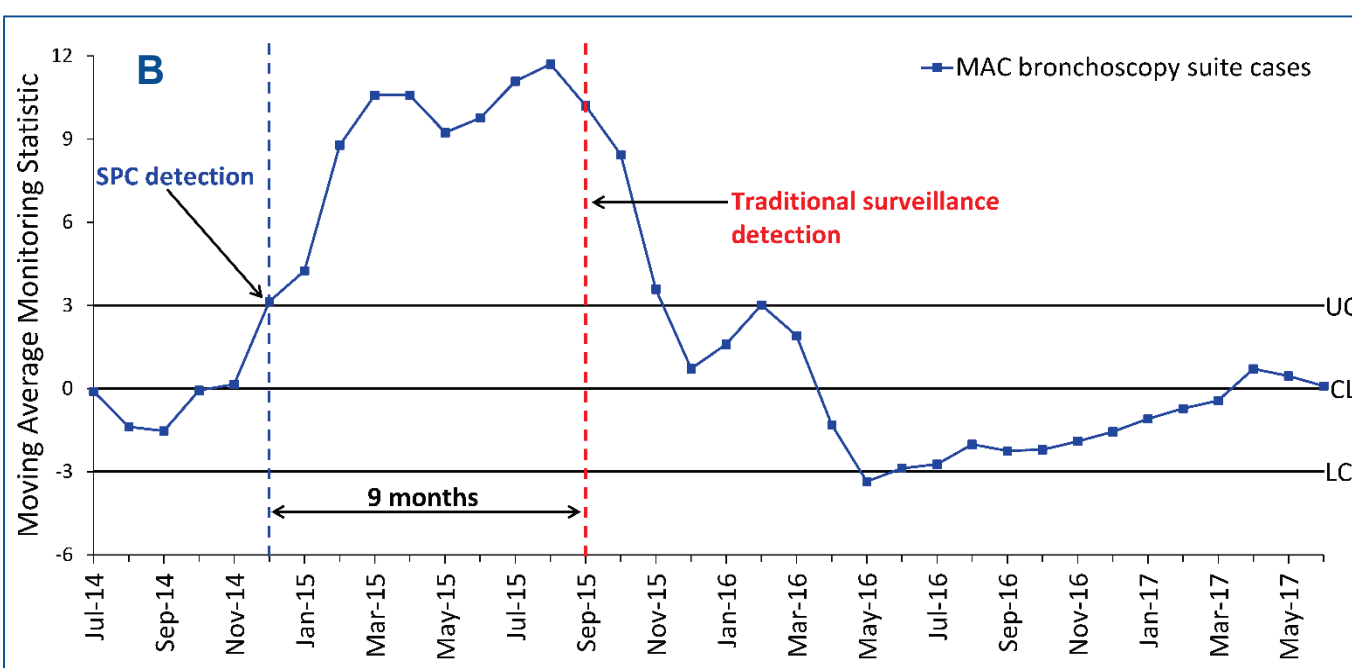
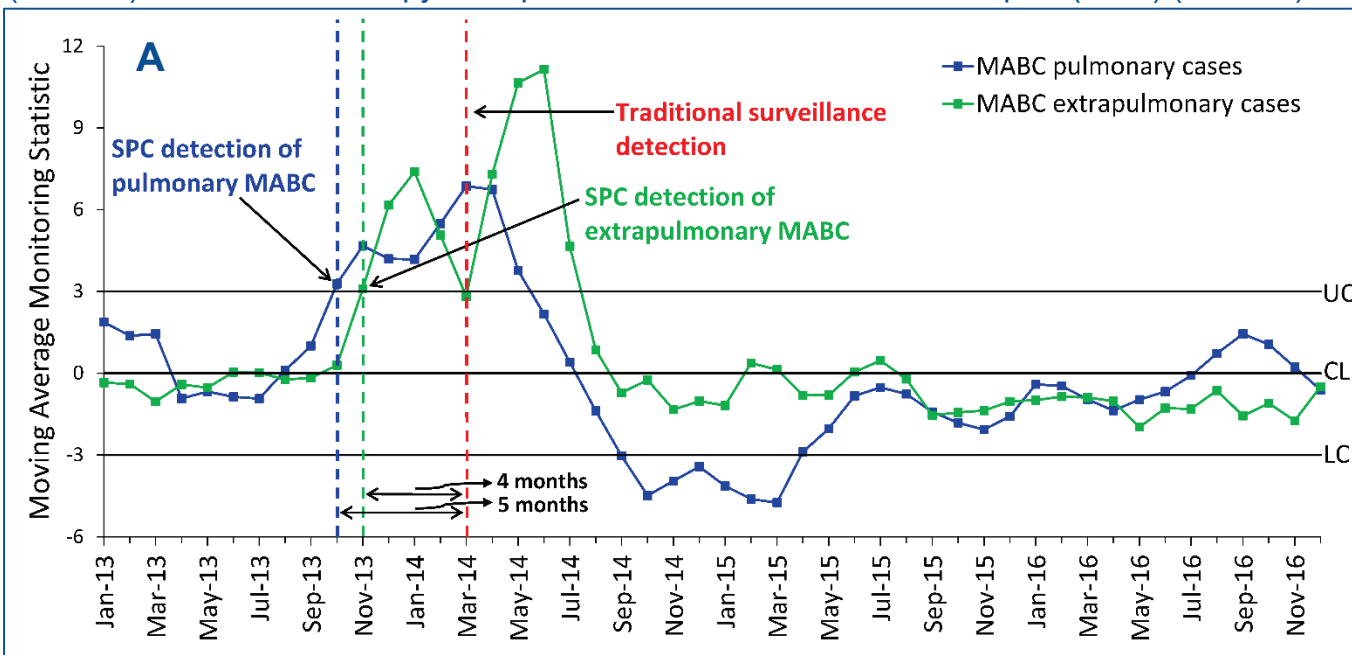


Table. Estimated cases of hospital-associated NTM that would have been prevented by prospective surveillance using a moving average statistical process control (SPC) chart.

Outbreak Characteristic	Pulmonary MABC (Outbreak #1) ^a	Cardiac Surgery MABC (Outbreak #2) ^b	Bronchoscopy Suite MAC (Outbreak #3) ^c
Case rate from time of SPC detection to time of traditional detection (A)	2.13	1.48	8.17
Case rate during 12-month post-outbreak time period (B)	0.48	0.54	1.79
Case rate difference (A-B)	1.66	0.94	6.38
Patient-days (outbreaks #1, #2) or bronchoscopies performed (outbreak #3) from time of SPC detection to time of traditional detection (C)	117,246	94,342	1,261
Estimated total cases prevented by SPC surveillance ([A-B]*C)	19.4	8.9	80.4

Case rates are given per 10,000 patient days (Outbreaks #1 and #2) or per 100 bronchoscopies performed (Outbreak #3). Abbreviations: MABC, *Mycobacterium abscessus* complex; MAC, *Mycobacterium avium* complex (MAC).
^aOutbreak #1 case definition: hospitalized patient with positive respiratory culture for MABC on day ≥3 of hospitalization.
^bOutbreak #2 case definition: inpatient or outpatient with any positive non-respiratory culture for MABC.
^cOutbreak #3 case definition: patient with positive bronchoalveolar lavage culture for MAC obtained at a bronchoscopy suite.

Conclusions

- A single moving average SPC chart detected all 3 hospital-associated NTM outbreaks an average of 6 months earlier than traditional surveillance.
- SPC has potential to improve NTM surveillance and prevent NTM infections:
 - Early cluster detection → early mitigation → prevention of NTM infections
- Next steps:
 - Optimize SPC methods to maximize speed and accuracy of HCFA NTM cluster detection.
 - Evaluate performance of optimized SPC methods when used for prospective HCFA NTM surveillance.